# Vāsi, jata Sutta (Nāvā Sutta)

The Discourse on the Adze's Handle (or the Ship)

(Samyutta Nikāya 22.101/3:152-155)

= **Bhāvanā Sutta** (Anguttara Nikāya 7.67/4:125-127)

[How to meditate]

Annotated translation by Piya Tan ©2006

#### Introduction

#### 1 Connection with other Suttas

The opening section of the Vāsijāta Sutta [§§1-4] are also found in **the Upanisā Sutta** (S 12.23), but with a different sequel. Section 4 of our Sutta is a stock meditation formula on the five aggregates, found in a number of other suttas<sup>2</sup> and in the two Satipatthāna Suttas. The Bhāvanā Sutta (A 7.67) is identical to the Vāsijata Sutta without this opening section.

The Sutta's sub-thesis is found in §1a, paraphrased as: "Spiritual liberation cannot be attained through wishing (or prayer)." The main thesis, found in §2a can be paraphrased thus: "Spiritual liberation can only be attained by a cultivated mind, even if one makes no wish of it." Bodhi notes here:

The theme of this sutta might be compared with [**the Bhūmija Sutta**, M 126], which deals with the question whether, in living the holy life, it is necessary to make a wish ( $\bar{a}sa\tilde{n}$  ce pi karitvā) in order to achieve the fruit (phalassa adhigamāya). Here the word rendered "wish" is icchā. (S:B 1089 n211)

The cultivated mind is said to have understood what constitutes the 37 "limbs of awakening" (bodhi,pak-khiyā dhammā) [§1b], that also serves as a summary of the Buddha's teaching.

#### **2** The Sutta parables

2.1 THE INCUBATION PARABLE. In referring to meditation, the Sutta first applies the well known parable of the hen's incubating her eggs. The wrong way of meditation is illustrated by the hen, not properly sitting on her eggs, merely wishes for them to hatch [§1cd]. The proper method of meditation is illustrated by the hen that single-mindedly sits on her eggs [§2bc]. The meaning of these paired parables is best understood by examining similar parables of egg-incubating hens found elsewhere in the Canon.

Both the Ceto,khila Sutta (M 16) and the Sekha Sutta (M 53) identically word the incubation parable in these words:

Suppose there were a hen with eight, ten or twelve eggs, which she has properly sat on, properly incubated, properly brooded. Even though she does not wish: "O, that my chicks might break the shell with their claw-tips or with their beaks, and hatch out safely!" Yet these chicks are still capable of breaking through their shells with their claw-tips or with their beaks, and hatch out safely.

(M 16.27/1:103 f = M 53.19-22/1:356-359)

<sup>&</sup>lt;sup>1</sup> S 12.23/2:28.

 $<sup>^2</sup>$  For example, **Upanisā S** (S 12.23.3/2:29), **Sīha S** (S 22.78.7/3:85), **Khemaka S** (S 22.89.23/3:130 f), **Vāsi,**j**āṭa S** (S 22.101.4/3:152 f).

 $<sup>^{3}</sup>$  D 22.14/2:301,29-302,13; M 10.38/1:61,3-8 = SD 13.

<sup>&</sup>lt;sup>4</sup> A 7.67/4:125-127.

<sup>&</sup>lt;sup>5</sup> On the Buddha's admonition on prayer, see **Brahma, deva S** (S 6.3) = SD 12.4 Intro (2).

<sup>&</sup>lt;sup>6</sup> See SD 10.1.

<sup>&</sup>lt;sup>7</sup> "Properly sat on,…properly brooded," *sammā adhisāyitāni sammā pariseditāni sammā paribhāvitāni*.

<sup>8 &</sup>quot;With the points...beaks," pāda,nakha,sikhāya vā mukha,tuṇḍakena vā.

The Ceto,khila Sutta speaks of fifteen factors that cause one to break out into awakening, that is to say, the abandoning of the five mental wildernesses (ceto,khila), the breaking of the five mental shackles ( $cetaso\ vinibandh\bar{a}$ ), and the five bases of spiritual power ( $iddhi,p\bar{a}da$ ). One who has these fifteen factors breaks out of the shell of ignorance, like a chick breaking out of its egg-shell.

<u>The Sekha Sutta</u>, on the other hand, applies this parable to the disciple's breaking out into the three types of true knowledges  $(te, vijj\bar{a})$ .<sup>10</sup>

The most elaborate application of the incubation parable, however, is found in a **Vinaya** passage in the Pārājika Kanda, where one's awakening is compared to the hen's incubating her eggs, thus:<sup>11</sup>

the hen's preparatory work

the non-rotting of the eggs

the drying up of the moisture in the eggs

the thinning of the egg-shells the maturation of the chicks

the chicks' cracking the shell and emerging

the chicks go about adorning the village field =

= like the meditator's devotion to cultivation;

= his not falling away from insight knowledge;

= the drying up of attachment to the three worlds; 12

= the lessening of ignorance;

= the maturation of insight knowledge;

the meditator breaks the shell of ignorance and

attains arhathood; and

= the arhat enters into fruition attainment taking nirvana as its object, and thus adorns the monastery (in the case of a monk).

 $(V 3:3-5 = P\bar{a}r\bar{a}jika 1.1.4-8)$ 

The Vinaya incubation parable quoted here actually begins with a separate, but shorter, incubation parable, focusing on one specific aspect of spiritual cultivation, that is, of Buddhahood itself:

"Suppose, brahmin, there were a hen with eight, ten or twelve eggs, which she has properly sat on, properly incubated, properly brooded. Is that chick than has broken through the shell with its claw-tip or with its beak, and hatched out safely, to be called the eldest or the youngest?"

"He is to be called the eldest, venerable Gotama, for he is the eldest of them."

"Even so, brahmin, amongst beings full of ignorance, egg-born, enveloped (in ignorance), I, having broken through the shell of ignorance, am unique in the world, fully realized perfect awakening. I, brahmin, am the world's eldest and highest." (V  $3:3 \text{ f} = P\bar{a}r\bar{a}jika 1.1.4$ )

- 2.2 THE PARABLE OF THE CARPENTER'S ADZE. The second parable of the Vāsi, jaṭa Sutta is that of the carpenter's adze handle ( $v\bar{a}si,jata$ ) [§18]. The PED defines  $v\bar{a}si$  as "a sharp knife, axe, hatchet, adze," and the word is found only in post-canonical works. The word  $v\bar{a}si,jaṭa$ , "adze handle," however, is found in the Canon. The parable centres on how, by constantly and carefully noticing the wear-marks on the adze-handle, the user would be able to know to what extent the handle has worn away. Like the other two parables, this one, too, connotes persistent and focussed effort that brings its own result in good time.
- 2.3 THE SHIP PARABLE. The parable of the ship is the most complicated of the three parables of the Sutta:

Seyyathāpi bhikkhave samuddikāye nāvāya vetta,bandhana,bandhanāya cha,māsāni udake pariyādāya hemantike thalam ukkhittāya vāt'ātapa,paretāni bandhanāni tāni pāvussakena meghena abhippavaṭṭanāni appa,kasiren'eva paṭippassanbhanti pūtikāni bhavantî ti

<sup>10</sup> M 53.19-22/1:356-359.

<sup>&</sup>lt;sup>9</sup> M 16.27/1:103 f.

<sup>&</sup>lt;sup>11</sup> V 3:3-5 (Pārājika 1.1.4-8).

<sup>&</sup>lt;sup>12</sup> Ie, the sense-worlds, the form worlds and the formless worlds.

<sup>&</sup>lt;sup>13</sup> J 1:32, 199, 2:274, 3:281, 4:344; DhA 1:178; KhA 49.

<sup>&</sup>lt;sup>14</sup> V 4:168; S 3:154; A 4:127.

**20** Suppose, bhikshus, an ocean-going ship rigged with masts and stays, <sup>15</sup> having been worn out by the water for six months, would be hauled up onto dry land for the cold season. <sup>16</sup> The ropes that have been worn out by the wind and sun, thoroughly soaked by the rains, would easily weaken and waste [rot] away. (S 22.101.20/3:155)

The Samyutta Commentary explains this parable in more elaborate (even prolix) details than the Vinaya incubation parable [2.1], thus:

the ocean

the ship

the wearing out of the ship by the ocean

the wasting away and lessening of the ship's rigging by the ocean waters

the time the ship is hauled up onto dry land

the drying up of the rigging by wind and sun during the day

the wetting by snow at night

the weakening of the rigging being dried by the wind and sun during the day, and being wetted by wet snow in the night

the rain pouring down the rotting of the ship's rigging by rain-water<sup>19</sup>

- = the teaching or dispensation ( $s\bar{a}sana$ );
- = the meditator or "yogi" (yogâvacara);<sup>17</sup>
- = the monk's activities (*vicaraṇa*) of less than 5 rains<sup>18</sup> in the presence of his preceptor and teacher;
- = the lessening of the renunciant's mental fetters by his going forth, study and questioning;
- = the time when the practitioner, having been discharged from (that is, completed) his tutelage, dwells in the forest for meditation;
- the drying up of craving and lust by insight knowledge;
- = the "wetting" (temana) (that is, extinguishing of craving) of the mind by zest and gladness arisen from meditation;
- = greatly weakening of the mental fetters through zest, gladness and insight knowledge gained through merely a day's of good weather, etc;
- = knowledge of the path of arhathood:
- = the attainment of the fruit of arhathood;

<sup>&</sup>lt;sup>15</sup> "Rigged with masts and stays," *vetta,bandhana,bandhanāya*. Here I follow S:W 5:40.

<sup>&</sup>lt;sup>16</sup> "The cold season," hemantikena. The cold season (hem'anta) is from Nov-Mar. The hot season (Apr-Oct) is usually marked by heavy rains over eastern India and the Gangetic plains, and SE Asia. South & SE Asian climate is characterized by the **monsoons** (Arabic mausim, "season"), strong winds that seasonally reverse direction, prevailing mainly over south and southeast Asia, and the Indian Ocean. It blows from the northeast (the NE monsoon) as a steady strong wind sweeping down across the South China Sea, in Nov-Jan, transporting ships from China and east Asia into SE Asia, and from there to south Asia. Then it gradually weakens, with a transitional period in Apr-May, followed by the SW monsoon, Jun-Aug, with another transitional period in Oct-Nov. The whole cycle then repeats. See Ency Brit (15<sup>th</sup> ed) 12:389-394: Monsoons. For the Indian seasons, see SD 9.2(9d). For the Indian year, see Ānâpāna,sati S (M 118.3/3:79) n on Uposatha = SD 7.13.

<sup>&</sup>lt;sup>17</sup> This is a late and peculiarly Abhidhamma term for "meditator."

<sup>&</sup>lt;sup>18</sup> "Less than five rains,"  $\bar{u}na$ ,  $pa\tilde{n}ca$ , vassa,  $k\bar{a}le$ . This statement is curious as the stipulated minimum period of dependence (nissaya), ie tutelage, for capable monk is 5 rains, but for one incapable it is for life (V 1:80 = Mv 1.53.4; cf V 1:92 = Mv 1.73).

<sup>&</sup>lt;sup>19</sup> This is an abridged tr. The full passage is *megh*, *vuṭṭhi*, *udakena nāvāya bandhe pūti*, *bhāvo viya āraddha*, *vipassakassa rūpa*, *sattak 'ādi*, *vasena vipassanam vaḍḍhentassa okkhāyamāne pakkhāyamāne kammaṭṭhāne eka*, *divasam utu*, *sappāy 'ādīni laddhā eka*, *pallankena nisinnassa arahatta*, *phal 'ādhigamo*, "the rotting of the ship's rigging by rain-water is like a vipassana practitioner, going deep into and shining forth in meditation, developing vipassana by way of *rūpa*, *sattaka* (form as the seventh, or form septad) and so on, on a day of good weather, etc, and gaining the fruit of arhathood in one sitting." I'm not sure what *rūpa*, *sattaka* here refers to.

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the persistence of the rigging in a decrepit state = the fetter-free arhat's remaining behind ( $th\bar{a}na$ ) for the benefit of the masses;

the breaking-up of the decrepit rigging = the arhat's attainment of the nirvana element without residue. (SA 2:330 f)

The ship parable recurs in **the Nāvā Sutta** (S 45.158),<sup>20</sup> where it is slightly longer, and which in place of "who devotes himself to mental cultivation," has "cultivates, continuously develops, the noble eightfold path." Although the Nāvā Sutta centres on the noble eightfold path, and the Vāsi,jaṭa Sutta deals with mental cultivation, the former actually forms a very apt conclusion to the latter.

# The Discourse on the Adze's Handle (or the Ship)

(S 22.101/3:152-155)

1 Thus have I heard.

Once the Buddha was staying in Anātha,piṇḍika's Park in Jeta's Forest near Sāvatthī.

2 There the Blessed One addressed the monks, "Bhikshus!"

"Bhante!" the monks replied in assent.

The Blessed One said this:<sup>21</sup>

3 "Bhikshus, I say that the destruction of the cankers<sup>22</sup> is for one who knows and sees, not for one who knows not and sees not.

4 And, bhikshus, knowing what and seeing what, is there the destruction of cankers?

'Such is form; such is its arising; such is its ending.
Such is feeling; such is its arising; such is its ending.
Such is perception; such is its arising; such is its ending.
Such is formations; such is its arising; such are their ending.
Such is consciousness; such is its arising; [153] such is its ending.'

—Bhikshus, knowing thus and seeing thus, there is the destruction of cankers.<sup>22</sup>

# [Bhāvanā Sutta]

<sup>21</sup> Evam me sutain ekain samayain bhagavā sāvatthiyain viharati jetavane anāthapiṇḍikassa ārāme, tatra kho bhagavā bhikkhū āmantesi bhikkhavo ti, bhadante tit e bhikkhū bhagavato paccassosuin, bhagavā etad avoca (reconstructed by A Glass, 2006:309 f). The sutta origin or introduction (nidāna) is abbreviated differently in the modern eds: sāvatthi,nidānain (Be, Ne VRI); sāvatthiyain (Ce, Ke); sāvatthi (PTS); sāvatthī (Se).

http://dharmafarer.googlepages.com or http://dharmafarer.net

 $<sup>^{20}</sup>$  S 45.158/5:51 = SD 15.2b.

<sup>&</sup>lt;sup>22</sup> "Mental cankers," *āsava*. The term *āsava* (lit "cankers") comes from *ā-savati* "flows towards" (ie either "into" or "out" towards the observer). It has been variously translated as influxes, taints ("deadly taints", RD), corruptions, intoxicants, biases, depravity, misery, evil (influence), or simply left untranslated. The Abhidhamma lists four *āsava*: the canker of (1) sense-desire (*kām'āsava*), (2) (desire for eternal) existnce (*bhav'āsava*), (3) wrong views (*diṭṭh'āṣava*), (4) ignorance (*avijjâṣava*) (D 16.2.4, Pm 1.442, 561, Dhs §§1096-1100, Vbh §937). These four are also known as "floods" (*ogha*) and "yokes" (*yoga*). The list of three cankers (omitting the canker of views) [43] is probably older and is found more frequently in the Suttas (D 3:216, 33.1.10(20); M 1:55, 3:41; A 3.59, 67, 6.63). The destruction of these āṣavas is equivalent to arhathoood. See BDict: āṣava.

<sup>&</sup>lt;sup>23</sup> This opening section also at **Upanisā S** (S 12.23/2:29): see Intro.

(A 7.67/4:125-127)

### One cannot wish away defilements

5 Bhikshus, even though a monk who does not devote himself to mental cultivation<sup>24</sup> might wish, "O, that my mind might be free from the cankers<sup>25</sup> by non-clinging!" his mind would still not be freed from the cankers by non-clinging."

**6a** What is the reason for this?

Because his mind is not cultivated should be the answer.

The mind is not cultivated in what?

**6b** The 37 LIMBS OF AWAKENING. In the four focusses of mindfulness, the four right strivings, the four bases of success, the five spiritual faculties, the five spiritual powers, the seven factors of awakening, and the noble eightfold path.<sup>27</sup>

## Parable of the hen not properly incubating her eggs

- 7 Suppose, bhikshus, a hen has eight, ten or twelve eggs, which she has not properly sat on, not properly incubated, not properly brooded.
- **8** Even though that hen may wish, "O, that my chicks might break the shell with their claw-tips or with their beaks, 28 and hatch out safely!" Yet these chicks are still incapable of breaking through their shells with their claw-tips or with their beaks, and hatch out safely.
  - **9** What is the reason for this?

Because the hen has not properly sat on, not properly incubated, not properly brooded them enough for hatching.

- 10 Even so, bhikshus, even though a monk who does not devote himself to mental cultivation might wish, "O, that my mind might be free from the cankers by non-clinging!" his mind would still not be freed from the cankers by non-clinging.
  - 11 What is the reason for this?

Because he his mind is not cultivated, should be the answer.

The mind is not cultivated in what?

In the four focusses of mindfulness, the four right strivings, the four bases of success, the five spiritual faculties, the five spiritual powers, the seven factors of awakening, and the noble eightfold path.

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<sup>&</sup>lt;sup>24</sup> "Mental cultivation," *bhāvanā*. See Intro (1) above.

<sup>&</sup>lt;sup>25</sup> "Mental cankers," *āsava*. The term *āsava* (lit "cankers") comes from *ā-savati*, meaning "flows towards" (ie either "into" or "out" towards the observer). It has been variously tr as influxes, taints ("deadly taints," RD), corruptions, intoxicants, biases, depravity, misery, evil (influence), or simply left untr. The Abhidhamma lists <u>4 kinds of āsava</u>: the cankers of (1) sense-desire (*kām'āsava*), (2) (desire for eternal) existence (*bhav'āsava*), (3) wrong views (*diṭṭh'āsava*), (4) ignorance (*avijjâsava*) (D 16.2.4, Pm 1.442, 561, Dhs §§1096-1100, Vbh §937). These 4 are also known as "floods" (*oghā*) or "yokes" (*yogā*). The list of 3 cankers (omitting the canker of views) is probably older and is found more frequently in the Suttas (D 3:216, 33.1.10(20); M 1:55, 3:41; A 3.59, 67, 6.63). The destruction of these cankers is equivalent to arhathood. See BDict: *āsava*.

<sup>&</sup>lt;sup>26</sup> On that prayer does not help, see **Iṭṭha S** (A 5.43/3:47-49): abr tr in **Brahma,deva S** (S 6.3/1:140-142) = SD 12.4(2) & **Ādiya S** (A 5.41) = SD 2.1(3). It is interesting that a recent comparative study of the "Samyukta-type" version in Gandhārī (from the Senior Collection) by Andrew Glass (2006), this whole para is missing and in its place is this passage: asa o añeare bhikhu bhayavata edad oya eva jaṇad(\*a) ev(\*a pa)ś\*adūda aṣavana kṣaya vadeṣi aṣa kispi iśe egacaṇa bhikhuṇa ṇa aṇuadehi aṣavehi jita vimucadi abhavi(\*datva taṣa vaca)ṇio, "Then a certain monk said this to the Lord, 'You say the destruction of the taints [aṣavana] is for one who know thus, who sees thus. Then, why, in regard to this, is the mind of some monks not liberated from the taints without clinging?' 'It must be said, "Due to (\*its) non-cultivation."" (2006:171)

<sup>&</sup>lt;sup>27</sup> Namely, *satipaṭthāna*, *samma-p,padhāna*, *iddhi,pāda*, *indriya*, *bala*, *bojjhanga*, *ariy'aṭṭhangika magga*, respectively. These are the "seven sets," comprising the 37 "limbs of awakening" (*bodhi,pakkhiyā dhammā*), that is a summary of the Buddha's teaching: see SD 10.1. See Intro above.

<sup>&</sup>lt;sup>28</sup> "With the points...beaks," pāda,nakha,sikhāya vā mukha,tundakena vā.

#### Mental cultivation will take its own course

12 <u>Bhikshus, even though a monk who devotes himself</u> [154] <u>to mental cultivation might not wish,</u> "O, that my mind might be free from the cankers by non-clinging!" his mind would still be freed from the cankers by non-clinging.

13 What is the reason for this?

Because his mind is cultivated, should be the answer.

The mind is cultivated in what?

In the four focusses of mindfulness, the four right strivings, the four bases of success, the five spiritual faculties, the five spiritual powers, the seven factors of awakening, and the noble eightfold path.

### Parable of the hen properly incubating her eggs

- 14 Suppose, bhikshus, a hen has eight, ten or twelve eggs, which she has properly sat on, properly incubated, properly brooded. Even though that hen may not wish, "O, that my chicks might break the shell with their claw-tips or with their beaks, and hatch out safely!" Yet these chicks are still capable of breaking through their shells with their claw-tips or with their beaks, hatch safely.
  - 15 What is the reason for this?

Because the hen has properly sat on, properly incubated, properly brooded them enough for hatching.

16 Even so, bhikshus, even though a monk who devotes himself to mental cultivation might not wish, "O that my mind might be free from the cankers by non-clinging!" his mind will still be freed from the cankers by non-clinging.

17 What is the reason for this?

Because his mind is cultivated, should be the answer.

The mind is cultivated in what?

In the four focusses of mindfulness, the four right strivings, the four bases of success, the five spiritual faculties, the five spiritual powers, the seven factors of awakening, and the noble eightfold path.

## Parable of the carpenter's adze

18 Suppose, bhikshus, a carpenter<sup>29</sup> or a carpenter's apprentice has an axe and its handle shows the marks of his fingers and thumb. He will not know that so much of the handle had worn away today, so much yesterday, and so much at other times. But he will know from what is worn away that it has worn away.

19 Even so, bhikshus, it is with a monk who devotes himself [155] to mental cultivation. Even though he has no knowledge how much of the cankers has worn away today, how much yesterday, and how much at other times, he will know from what is wasted away that they have wasted away.

# Parable of the ocean-going ship

20 Suppose, bhikshus, an ocean-going ship rigged with masts and stays, <sup>30</sup> having been worn out by the water for six months, would be hauled up onto dry land for the cold season. <sup>31</sup> The ropes that have been worn out by the wind and sun, thoroughly soaked by the rains, would easily weaken and waste [rot] away. <sup>32</sup>

21 Even so, bhikshus, it is with the monk who devotes himself to mental cultivation: his fetters<sup>33</sup> will easily weaken and waste away.

<sup>&</sup>lt;sup>29</sup> PTS phala,gandassa; Be Ce palagandassa (preferred). SA glosses as vaddhakissa.

<sup>&</sup>lt;sup>30</sup> "Rigged with masts and stays," *vetta,bandhana,bandhanāya*. Here I follow S:W 5:40.

<sup>&</sup>lt;sup>31</sup> "The cold season," hemantikena. See Intro (2.3) above.

<sup>&</sup>lt;sup>32</sup> This parable occurs in  $N\bar{a}v\bar{a}$  S (S 45.158/5:51) = SD 15.2b. See Intro (2.3) above.

<sup>33 &</sup>quot;Fetters,"  $samyojan\bar{a}$ , of which there are 10, that imprison one to the cyclic world of suffering. **The 10 fetters** ( $dasa\ samyojan\bar{a}$ ) are: (1) Self-identity view ( $sakk\bar{a}ya,ditthi$ ) [see **Antā S** (S 22.103) = SD 14.1], (2) persistent doubt ( $vicikicch\bar{a}$ ), (3) attachment to rules and rituals ( $s\bar{\imath}la-b,bata,par\bar{\imath}m\bar{a}sa$ ), (4) sensual lust ( $k\bar{a}ma,r\bar{a}ga$ ), (5) repulsion (patigha), (6) greed for form existence ( $r\bar{\imath}pa,r\bar{a}ga$ ), (7) greed for formless existence ( $ar\bar{\imath}pa,r\bar{a}ga$ ), (8) conceit

— evam —

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051026; 060813; 081225; 091112

 $(m\bar{a}na)$ , (9) restlessness (uddhacca), (10) ignorance  $(avijj\bar{a})$  (S 5:61; A 5:13; Vbh 377). In some places, no 5  $(k\bar{a}ma, r\bar{a}ga)$  is replaced by illwill  $(vy\bar{a}p\bar{a}da)$ . The first 5 are the lower fetters  $(orambh\bar{a}giya)$ , so called because the lower realms, ie, the sense-worlds, and the rest, the higher fetters  $(uddhambh\bar{a}giya)$ , so called because they bind one to the higher realms, ie, the form worlds and the formless worlds. On the sequence of the fetters broken by the saints, see **Kīṭāgiri S** (M 70) = SD 11.1 Intro (5) & Ānāpānasati S, M 118.8-12/3:80 f) = SD 7.13.